

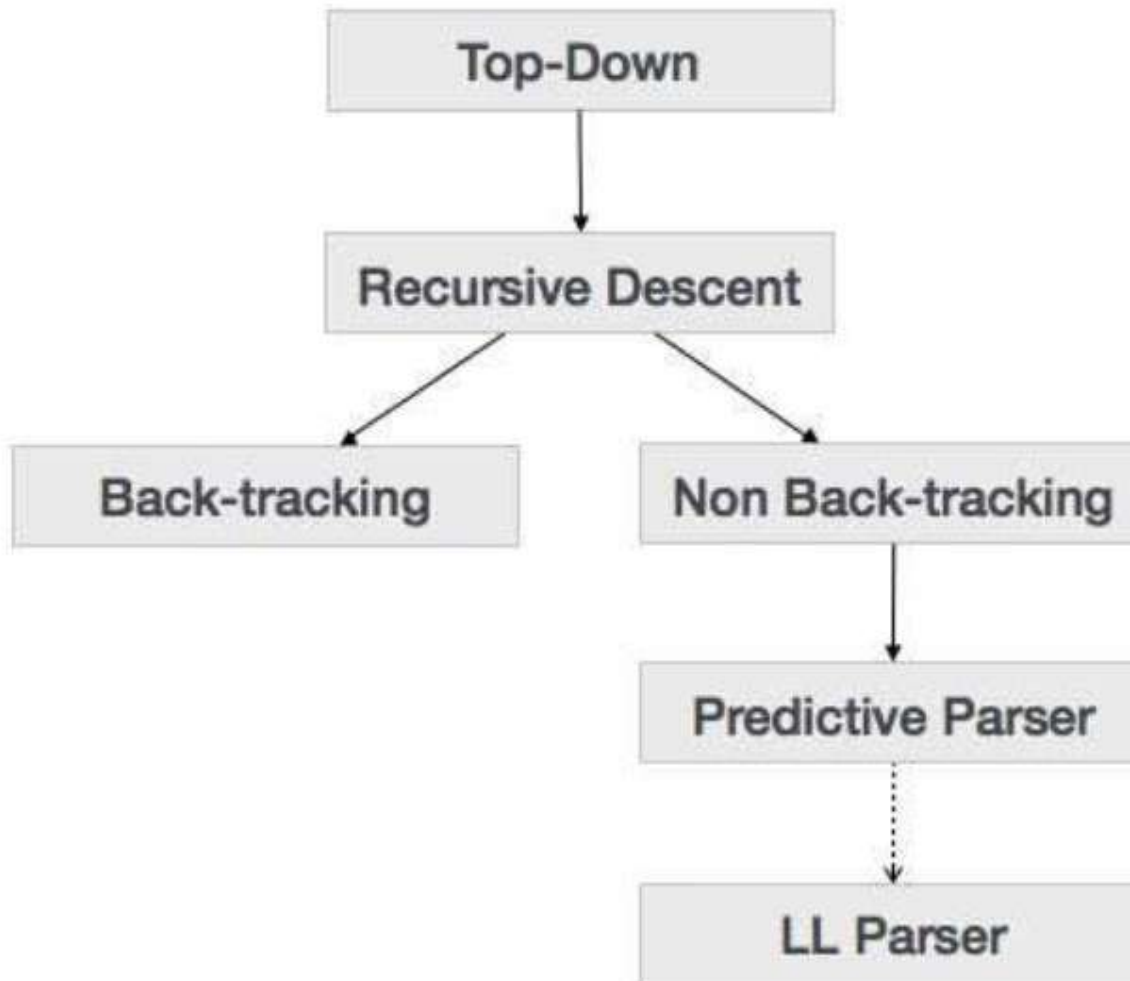
# Parsing Methods

- Parsing is the process of determining if a string of token can be generated by a grammar.
- Mainly 2 parsing approaches: Top Down and Bottom Up
- In **top down parsing**, parse tree is constructed from top (root) to the bottom (leaves).
- In **bottom up parsing**, parse tree is constructed from bottom (leaves) to the top (root).

# Top Down Parsing

- Parsing is the process of determining if a string of token can be generated by a grammar.
- In **top down parsing**, parse tree is constructed from top (root) to the bottom (leaves).

# Top Down Parsing



## Procedure-RD Parser

```
void A() {  
    Choose an  $A$ -production,  $A \rightarrow X_1 X_2 \cdots X_k$ ;  
    for (  $i = 1$  to  $k$  ) {  
        if (  $X_i$  is a nonterminal )  
            call procedure  $X_i()$ ;  
        else if (  $X_i$  equals the current input symbol  $a$  )  
            advance the input to the next symbol;  
        else /* an error has occurred */;  
    }  
}
```

# Recursive Descent Parsing

- It is the most general form of top-down parsing.
- It may involve **backtracking**, that is making repeated scans of input, to obtain the correct expansion of the leftmost non-terminal. Unless the grammar is ambiguous or left-recursive, it finds a suitable parse tree

## EXAMPLE

Consider the grammar:

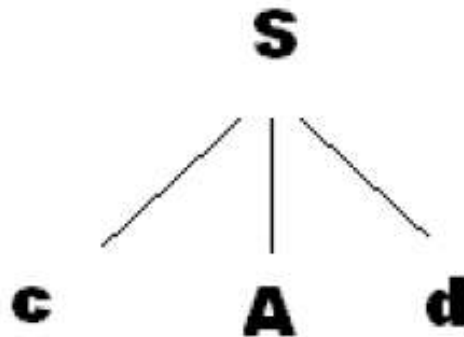
$S \longrightarrow cAd$

$A \longrightarrow ab \mid a$

and the input string  $w = cad$ .

# Recursive Descent Parsing

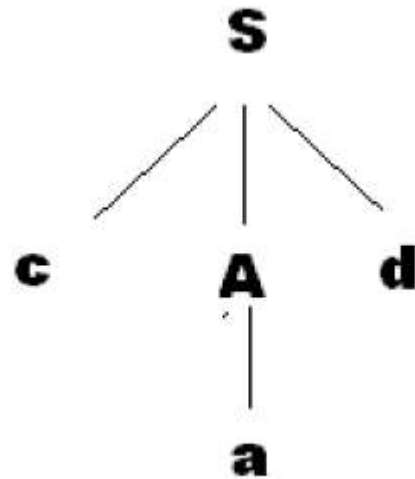
- To construct a parse tree for this string top down, we initially create a tree consisting of a single node labelled **S**.
- An input pointer points to **c**, the first symbol of  $w$ . **S** has only one production, so we use it to expand **S** and obtain the tree as:



# Recursive Descent Parsing

- The leftmost leaf, labeled **c**, matches the first symbol of input  $w$ , so we advance the input pointer to **a**, the second symbol of  $w$ , and consider the next leaf, labeled **A**.
- Now expand **A** using the first alternative **A**  $\rightarrow$  **ab**
- We have a match for the second input symbol, **a**, so we advance the input pointer to **d**, the third input symbol, and compare **d** against the next leaf, labeled **b**.
- Since **b** does not match **d**, we report failure and go back to **A** to see whether there is another alternative for **A** that has not been tried, but that might produce a match.
- In going back to **A**, we must reset the input pointer to position 2, the position it had when we first came to **A**, which means that the procedure for **A** must store the input pointer in a local variable.
- The second alternative for **A** produces the correct Parse Tree

# Recursive Descent Parsing



# Recursive Descent Parsing (Recursive)

$E \rightarrow TE'$   
 $E' \rightarrow +TE' \mid \epsilon$   
 $T \rightarrow FT'$   
 $T' \rightarrow *FT' \mid \epsilon$   
 $F \rightarrow (E) \mid \text{id}$

```
procedure E( );
begin
    T( );
    EPRIME(.)
end;

procedure EPRIME( );
if input-symbol = '+' then
begin
    ADVANCE( );
    T( );
    EPRIME( )
end;

procedure T( );
begin
    F( );
    TPRIME( )
end;
```

# Recursive Descent Parsing (Recursive) contd...

$E \rightarrow T E'$   
 $E' \rightarrow + T E' \mid \epsilon$   
 $T \rightarrow F T'$   
 $T' \rightarrow * F T' \mid \epsilon$   
 $F \rightarrow ( E ) \mid \text{id}$

```
procedure T( );  
begin  
    F( );  
    TPRIME( )  
end;
```

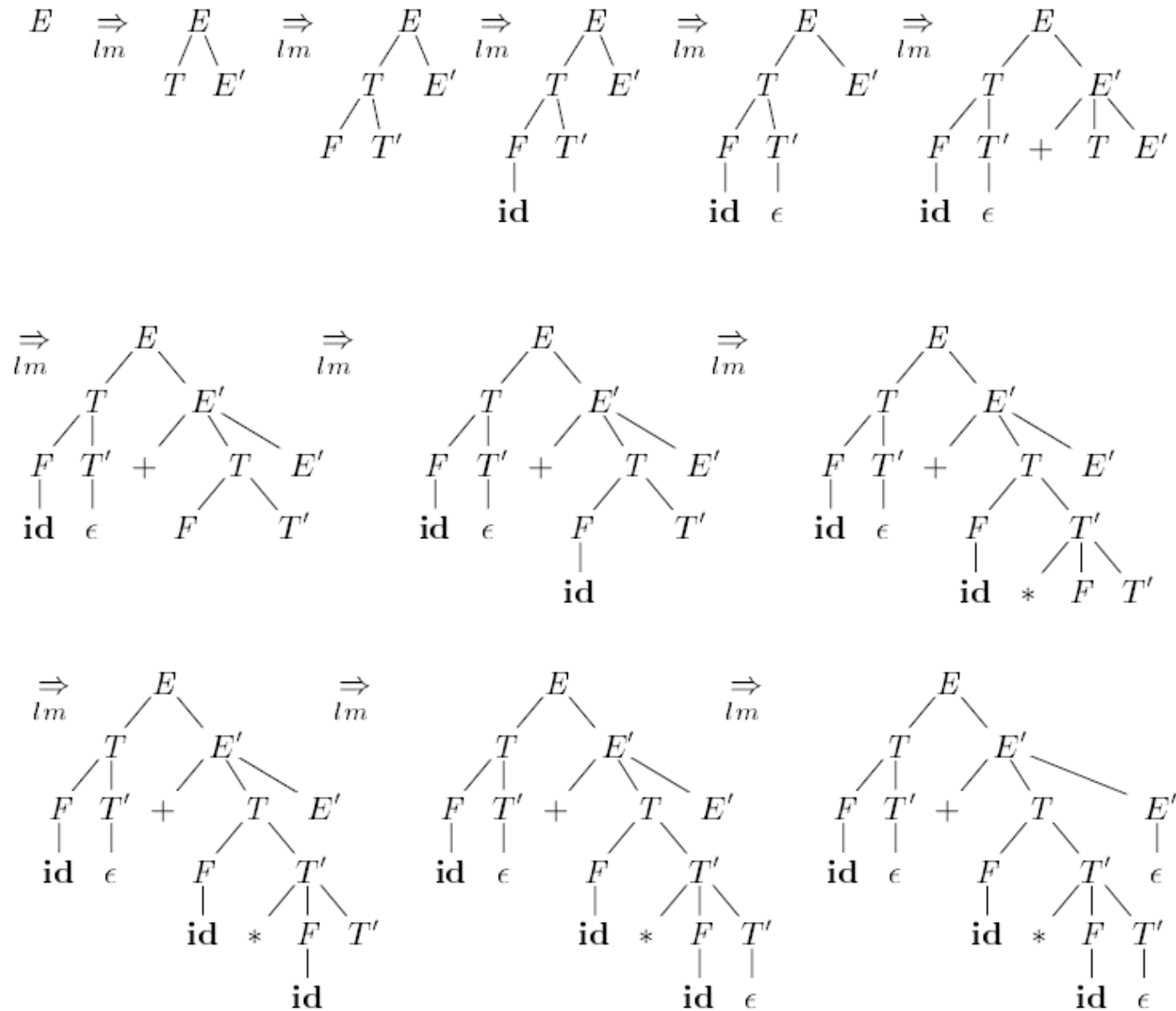
```
procedure TPRIME( );  
if input-symbol = '*' then  
begin  
    ADVANCE( );  
    F( );  
    TPRIME( ).  
end;
```

# Recursive Descent Parsing (Recursive) contd...

$E \rightarrow T E'$   
 $E' \rightarrow + T E' \mid \epsilon$   
 $T \rightarrow F T'$   
 $T' \rightarrow * F T' \mid \epsilon$   
 $F \rightarrow ( E ) \mid \text{id}$

```
procedure F( );  
  if input-symbol = 'id' then  
    ADVANCE( )  
  else if input-symbol = '(' then  
    begin  
      ADVANCE( );  
      E( );  
      if input-symbol = ')' then  
        ADVANCE( )  
      else ERROR( )  
    end  
  else ERROR( )
```

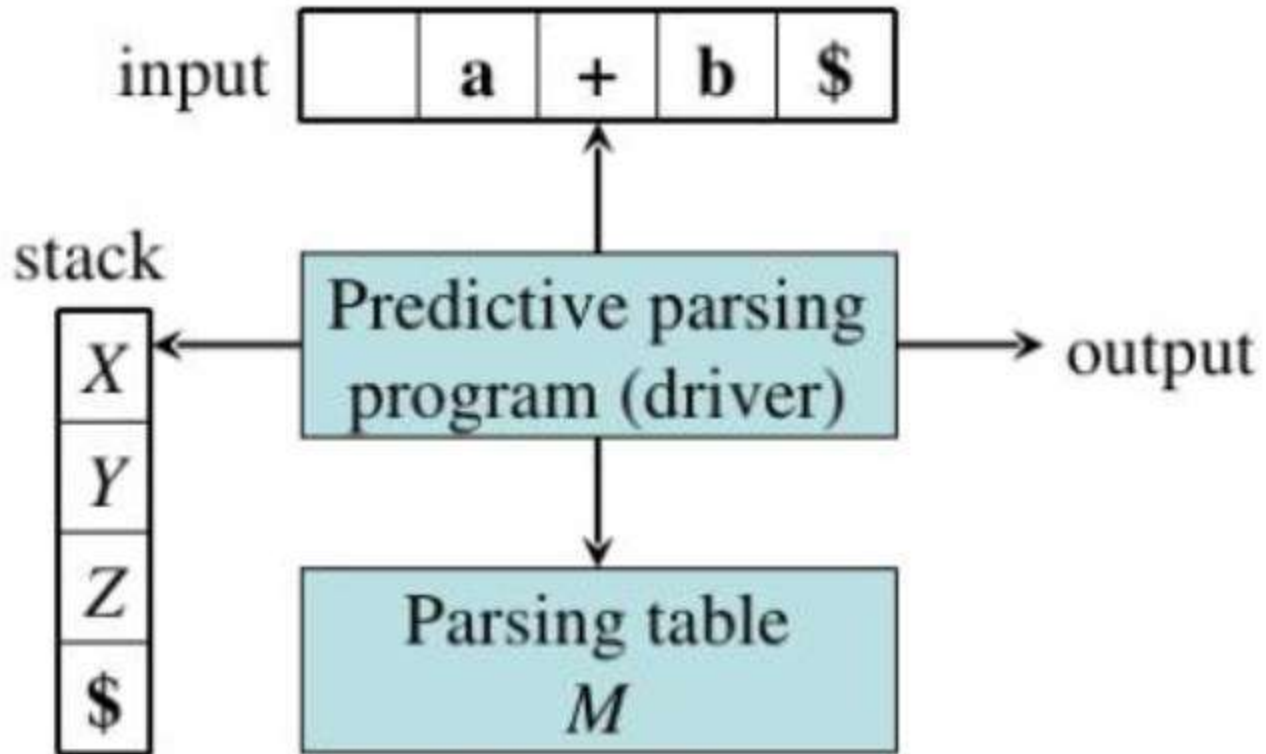
# Example $\text{id} + \text{id} * \text{id}$



# Predictive Parser

- It is possible to build a predictive parser(non recursive) by maintaining a stack explicitly, rather than implicitly via recursive calls.
- The key problem during predictive parsing is that of determining the production to be applied for a nonterminal.
- The non recursive parser in looks up the production to be applied in a parsing table

# Model



# First

- If ' $\alpha$ ' is any string of grammar symbols, then  $FIRST(\alpha)$  be the set of terminals that begin the string derived from  $\alpha$  . If  $\alpha \Rightarrow^* \epsilon$  then add  $\epsilon$  to  $FIRST(\alpha)$ . First is defined for both terminals and non terminals.
- To Compute First Set
  1. If  $X$  is a terminal , then  $FIRST(X)$  is  $\{X\}$
  2. If  $X \rightarrow \epsilon$  then add  $\epsilon$  to  $FIRST(X)$
  3. If  $X$  is a non terminal and  $X \rightarrow Y_1 Y_2 Y_3 \dots Y_n$  , then put ' $a$ ' in  $FIRST(X)$  if for some  $i$ ,  $a$  is in  $FIRST(Y_i)$  and  $\epsilon$  is in all of  $FIRST(Y_1), \dots, FIRST(Y_{i-1})$ .

# Example

Consider Grammar:

$E \rightarrow T E'$

$E' \rightarrow +T E' \mid \epsilon$

$T \rightarrow F T'$

$T' \rightarrow * F T' \mid \epsilon$

$F \rightarrow ( E ) \mid \mathbf{id}$

1.  $\text{FIRST}(F) = \text{FIRST}(T) = \text{FIRST}(E) = \{ (, \mathbf{id} \}$ . To see why, note that the two productions for  $F$  have bodies that start with these two terminal symbols,  $\mathbf{id}$  and the left parenthesis.  $T$  has only one production, and its body starts with  $F$ . Since  $F$  does not derive  $\epsilon$ ,  $\text{FIRST}(T)$  must be the same as  $\text{FIRST}(F)$ . The same argument covers  $\text{FIRST}(E)$ .
2.  $\text{FIRST}(E') = \{ +, \epsilon \}$ . The reason is that one of the two productions for  $E'$  has a body that begins with terminal  $+$ , and the other's body is  $\epsilon$ . Whenever a nonterminal derives  $\epsilon$ , we place  $\epsilon$  in  $\text{FIRST}$  for that nonterminal.
3.  $\text{FIRST}(T') = \{ *, \epsilon \}$ . The reasoning is analogous to that for  $\text{FIRST}(E')$ .

# Follow

- FOLLOW is defined only for non-terminals of the grammar  $G$ .
- It can be defined as the set of terminals of grammar  $G$ , which can immediately follow the non-terminal in a production rule from start symbol.
- In other words, if  $A$  is a nonterminal, then  $FOLLOW(A)$  is the set of terminals 'a' that can appear immediately to the right of  $A$  in some sentential form.
- Rules to Compute Follow Set
  1. If  $S$  is the start symbol, then add  $\$$  to the  $FOLLOW(S)$ .
  2. If there is a production rule  $A \rightarrow \alpha B \beta$  then everything in  $FIRST(\beta)$  except  $\epsilon$  is placed in  $FOLLOW(B)$ .
  3. If there is a production  $A \rightarrow \alpha B$ , or a production  $A \rightarrow \alpha B \beta$  where  $FIRST(\beta)$  contains  $\epsilon$  then everything in  $FOLLOW(A)$  is in  $FOLLOW(B)$ .

## Example

Consider  
Grammar:

$E \rightarrow T E'$

$E' \rightarrow +T E' \mid \epsilon$

$T \rightarrow F T'$

$T' \rightarrow * F T' \mid \epsilon$

$F \rightarrow ( E ) \mid \text{id}$

$\text{FOLLOW}(E) = \text{FOLLOW}(E') = \{), \$\}$ . Since  $E$  is the start symbol,  $\text{FOLLOW}(E)$  must contain  $\$$ . The production body  $( E )$  explains why the right parenthesis is in  $\text{FOLLOW}(E)$ . For  $E'$ , note that this nonterminal appears only at the ends of bodies of  $E$ -productions. Thus,  $\text{FOLLOW}(E')$  must be the same as  $\text{FOLLOW}(E)$ .

$\text{FOLLOW}(T) = \text{FOLLOW}(T') = \{+, ), \$\}$ . Notice that  $T$  appears in bodies only followed by  $E'$ . Thus, everything except  $\epsilon$  that is in  $\text{FIRST}(E')$  must be in  $\text{FOLLOW}(T)$ ; that explains the symbol  $+$ . However, since  $\text{FIRST}(E')$  contains  $\epsilon$  (i.e.,  $E' \xRightarrow{*} \epsilon$ ), and  $E'$  is the entire string following  $T$  in the bodies of the  $E$ -productions, everything in  $\text{FOLLOW}(E)$  must also be in  $\text{FOLLOW}(T)$ . That explains the symbols  $\$$  and the right parenthesis. As for  $T'$ , since it appears only at the ends of the  $T$ -productions, it must be that  $\text{FOLLOW}(T') = \text{FOLLOW}(T)$ .

$\text{FOLLOW}(F) = \{+, *, ), \$\}$ . The reasoning is analogous to that for  $T$

# First and Follow

Non-terminal	FIRST	FOLLOW
E	(, id	), \$
E'	+, $\epsilon$	), \$
T	(, id	+, ), \$
T'	*, $\epsilon$	+, ), \$
F	(, id	+, *, ), \$

# Algorithm to Construct Predictive Parsing Table

1. For each production  $\mathbf{A} \rightarrow \alpha$  of the grammar, do steps 2 and 3.
2. For each terminal  $\mathbf{a}$  in  $\text{FIRST}(\alpha)$ , add  $\mathbf{A} \rightarrow \alpha$  to  $\mathbf{M}[\mathbf{A}, \mathbf{a}]$
3. If  $\epsilon$  is in  $\text{FIRST}(\alpha)$ , add  $\mathbf{A} \rightarrow \alpha$  to  $\mathbf{M}[\mathbf{A}, \mathbf{b}]$  for each terminal  $b$  in  $\text{FOLLOW}(\mathbf{A})$ . If  $\epsilon$  is in  $\text{FIRST}(\alpha)$  and  $\mathbf{\$}$  is in  $\text{FOLLOW}(\mathbf{A})$ , add  $\mathbf{A} \rightarrow \alpha$  to  $\mathbf{M}[\mathbf{A}, \mathbf{\$}]$
4. Make each undefined entry of  $M$  be error

# Parsing Table

$$E \rightarrow T E'$$

$$E' \rightarrow +T E' \mid \epsilon$$

$$T \rightarrow F T'$$

$$T' \rightarrow * F T' \mid \epsilon$$

$$F \rightarrow ( E ) \mid \text{id}$$

Non-terminal	FIRST	FOLLOW
E	(, id	), \$
E'	+, $\epsilon$	), \$
T	(, id	+, ), \$
T'	*, $\epsilon$	+, ), \$
F	(, id	+, *, ), \$

NON - TERMINAL	INPUT SYMBOL					
	id	+	*	(	)	\$
<i>E</i>	$E \rightarrow T E'$			$E \rightarrow T E'$		
<i>E'</i>		$E' \rightarrow +T E'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
<i>T</i>	$T \rightarrow F T'$			$T \rightarrow F T'$		
<i>T'</i>		$T' \rightarrow \epsilon$	$T' \rightarrow *F T'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
<i>F</i>	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

# Predictive Parsing Method

**INPUT:** A string  $w$  and a parsing table  $M$  for grammar  $G$ .

**OUTPUT:** If  $w$  is in  $L(G)$ , a leftmost derivation of  $w$ ; otherwise, an error indication.

**METHOD:** Initially, the parser is in a configuration in which it has  $\$S$  on the stack with  $S$ , the start symbol of  $G$  on top, and  $w\$$  in the input buffer. The program that utilizes the predictive parsing table  $M$  to produce a parse for the input is shown below.

set ip to point to the first symbol of  $w\$$ ;

## Predictive Parsing (contd...)

```
let  $a$  be the first symbol of  $w$ ;  
let  $X$  be the top stack symbol;  
while (  $X \neq \$$  ) { /* stack is not empty */  
    if (  $X = a$  ) pop the stack and let  $a$  be the next symbol of  $w$ ;  
    else if (  $X$  is a terminal ) error();  
    else if (  $M[X, a]$  is an error entry ) error();  
    else if (  $M[X, a] = X \rightarrow Y_1 Y_2 \cdots Y_k$  ) {  
        output the production  $X \rightarrow Y_1 Y_2 \cdots Y_k$ ;  
        pop the stack;  
        push  $Y_k, Y_{k-1}, \dots, Y_1$  onto the stack, with  $Y_1$  on top;  
    }  
    let  $X$  be the top stack symbol;  
}
```

# Predictive Parsing Actions

MATCHED	STACK	INPUT	ACTION
	$E\$$	<b>id + id * id\$</b>	
	$TE' \$$	<b>id + id * id\$</b>	output $E \rightarrow TE'$
	$FT'E' \$$	<b>id + id * id\$</b>	output $T \rightarrow FT'$
	<b>id</b> $T'E' \$$	<b>id + id * id\$</b>	output $F \rightarrow \text{id}$
<b>id</b>	$T'E' \$$	<b>+ id * id\$</b>	match <b>id</b>
<b>id</b>	$E' \$$	<b>+ id * id\$</b>	output $T' \rightarrow \epsilon$
<b>id</b>	<b>+</b> $TE' \$$	<b>+ id * id\$</b>	output $E' \rightarrow + TE'$
<b>id +</b>	$TE' \$$	<b>id * id\$</b>	match <b>+</b>
<b>id +</b>	$FT'E' \$$	<b>id * id\$</b>	output $T \rightarrow FT'$
<b>id +</b>	<b>id</b> $T'E' \$$	<b>id * id\$</b>	output $F \rightarrow \text{id}$
<b>id + id</b>	$T'E' \$$	<b>* id\$</b>	match <b>id</b>
<b>id + id</b>	<b>*</b> $FT'E' \$$	<b>* id\$</b>	output $T' \rightarrow * FT'$
<b>id + id *</b>	$FT'E' \$$	<b>id\$</b>	match <b>*</b>
<b>id + id *</b>	<b>id</b> $T'E' \$$	<b>id\$</b>	output $F \rightarrow \text{id}$
<b>id + id * id</b>	$T'E' \$$	<b>\$</b>	match <b>id</b>
<b>id + id * id</b>	$E' \$$	<b>\$</b>	output $T' \rightarrow \epsilon$
<b>id + id * id</b>	<b>\$</b>	<b>\$</b>	output $E' \rightarrow \epsilon$

# Exercise

Find First and Follow

1)  $E \rightarrow E A E \mid ( E ) \mid - E \mid \text{id}$

$A \rightarrow + \mid *$

2)

$S \rightarrow SS \mid AB$

$A \rightarrow Aa \mid a$

$B \rightarrow Bb \mid b$

# Example

$$S \rightarrow iEtSS' \mid a$$

$$S' \rightarrow eS \mid \epsilon$$

$$E \rightarrow b$$

## First

$$S = \{ i, a \}$$

$$S' = \{ e, \epsilon \}$$

$$E = \{ b \}$$

## Follow

$$S = \{ e, \$ \}$$

$$S' = \{ e, \$ \}$$

$$E = \{ t \}$$

## Example (contd.)

NON - TERMINAL	INPUT SYMBOL					
	$a$	$b$	$e$	$i$	$t$	$\$$
$S$	$S \rightarrow a$			$S \rightarrow iEtSS'$		
$S'$			$S' \rightarrow \epsilon$ $S' \rightarrow eS$			$S' \rightarrow \epsilon$
$E$		$E \rightarrow b$				

Multiple entries are there for  $M[S', e]$  . So the grammar is not LL(1)

# LL(1) Grammar Conditions

For  $A \rightarrow \alpha \mid \beta$

1. For no terminal  $a$  do  $\alpha$  and  $\beta$  derive strings beginning with  $a$
2. At most one of  $\alpha$  and  $\beta$  derive empty string
3. If  $\beta \Rightarrow^* \epsilon$ , then  $\alpha$  does not derive any string beginning with a terminal in **FOLLOW(A)** or vice versa

# Panic mode Error Recovery

- In case of an error like: `a=b + c //` no semi-colon
- The compiler will discard all subsequent tokens till a semi-colon (**synchronizing token**) is encountered.
- This method often skips a considerable amount of input without checking it for additional errors, it has an advantage of **simplicity** and **not to go in infinite loop**.
- In situations where multiple errors in the same statements are rare, this method may be quite adequate.

## Panic mode Error Recovery(contd.)

NON - TERMINAL	INPUT SYMBOL					
	<b>id</b>	+	*	(	)	\$
$E$	$E \rightarrow TE'$			$E \rightarrow TE'$	synch	synch
$E'$		$E \rightarrow +TE'$			$E \rightarrow \epsilon$	$E \rightarrow \epsilon$
$T$	$T \rightarrow FT'$	synch		$T \rightarrow FT'$	synch	synch
$T'$		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
$F$	$F \rightarrow \mathbf{id}$	synch	synch	$F \rightarrow (E)$	synch	synch

## Panic mode Error Recovery(contd.)

STACK	INPUT	REMARK
$E \$$	) $\mathbf{id} * + \mathbf{id} \$$	error, skip )
$E \$$	$\mathbf{id} * + \mathbf{id} \$$	$\mathbf{id}$ is in $\text{FIRST}(E)$
$TE' \$$	$\mathbf{id} * + \mathbf{id} \$$	
$FT'E' \$$	$\mathbf{id} * + \mathbf{id} \$$	
$\mathbf{id} T'E' \$$	$\mathbf{id} * + \mathbf{id} \$$	
$T'E' \$$	$* + \mathbf{id} \$$	
$* FT'E' \$$	$* + \mathbf{id} \$$	
$FT'E' \$$	$+ \mathbf{id} \$$	error, $M[F, +] = \text{synch}$
$T'E' \$$	$+ \mathbf{id} \$$	$F$ has been popped
$E' \$$	$+ \mathbf{id} \$$	
$+ TE' \$$	$+ \mathbf{id} \$$	
$TE' \$$	$\mathbf{id} \$$	
$FT'E' \$$	$\mathbf{id} \$$	
$\mathbf{id} T'E' \$$	$\mathbf{id} \$$	
$T'E' \$$	$\$$	
$E' \$$	$\$$	
$\$$	$\$$	

# Phrase Level Recovery

- Filling blank entries by pointers to error routines
- On discovering an error, a parser may perform local correction on the remaining input; that is, it may replace a prefix of the remaining input by some string that allows the parser to continue.
- For example, in case of an error like replacing a coma by a semicolon, it will report the error, generate the “;” and continue.

# Error Production

- If we have an idea of common errors that might occur, we can include the errors in the grammar at hand.

Eg:  $E \rightarrow + E \mid - E \mid * A \mid /A$

In the grammar last two productions are error productions

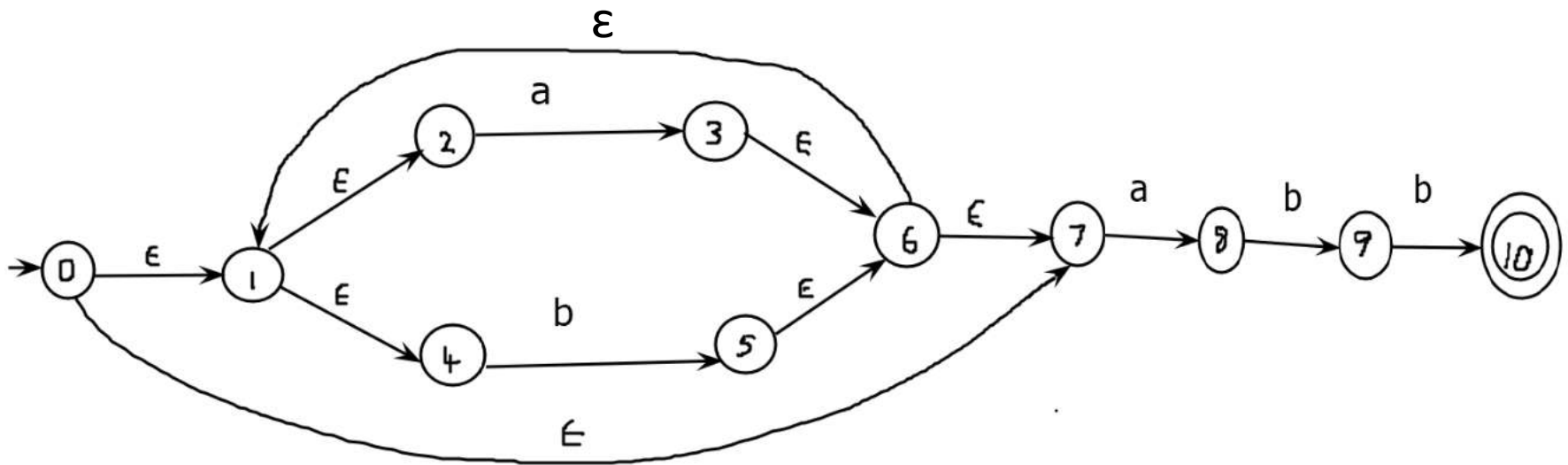
# Global Correction

- There are algorithms for choosing a minimal amount of changes to obtain a globally least-cost correction.
- The parser examines the whole program and tries to find out the closest match for it which is error-free.
- When an erroneous input (statement) X is found, it creates a parse tree for some closest error-free statement Y.
- The closest match program has less number of insertions, deletions, and changes of tokens to recover from erroneous input.
- High time and space complexity

# NFA\_to\_DFA Construction

# NFA

$$R = (a+b)^*abb$$



# $\epsilon$ -Closure

- $\epsilon$ -transition or  $\wedge$  - transition means present state can goto other state without any input.

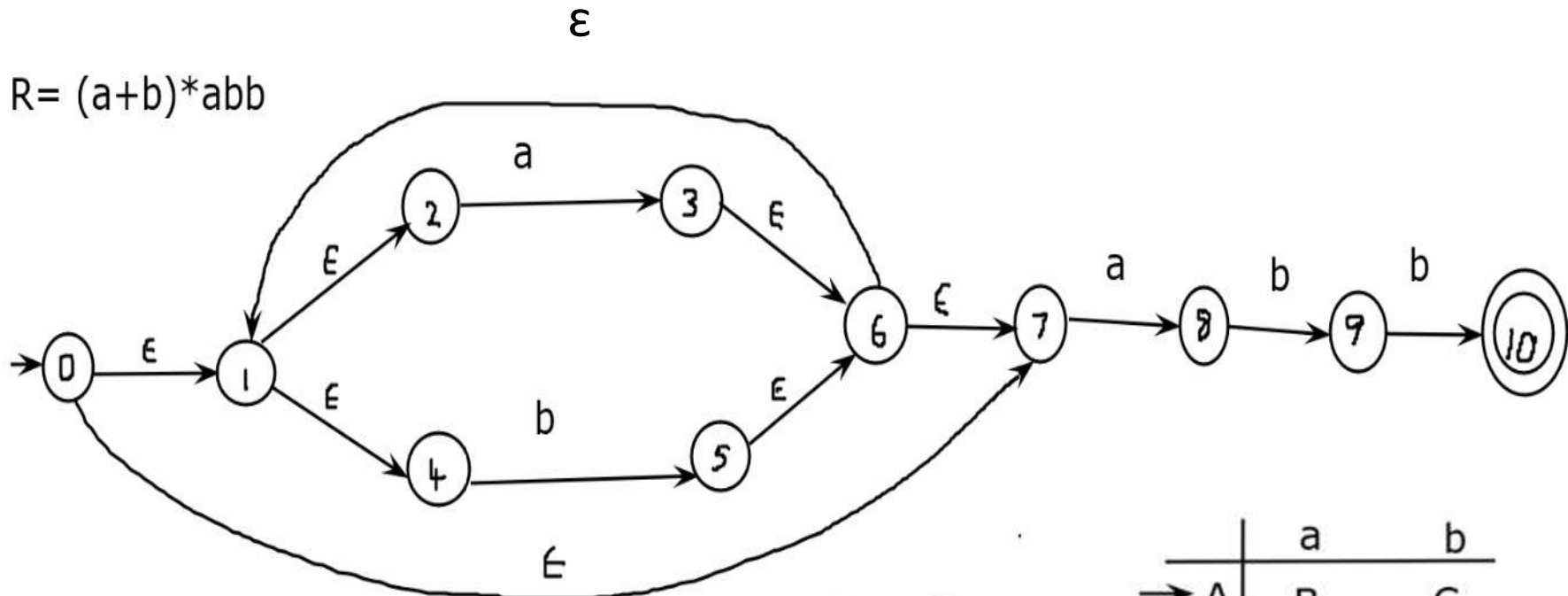
$\epsilon$ -closure(s)

1.  $C = \{ s \}$
2. Take  $\epsilon$ -transitions on each state in C and update C with those states
3. Repeat step 2 until no new state to be added to C
4. Return C

# Steps for converting $\epsilon$ -NFA to DFA (subset construction method)

- 1.** Take the  $\epsilon$ -closure for the start state of NFA as a start state of DFA and initialize D(set of states for DFA)
- 2.** Take an unmarked state from D and find union of transitions for each input symbol that can be traversed (state T is identified for each input symbol) and take  $\epsilon$ -closure(T)
- 3.** If found a new state, add to D
- 4.** Update transition table for DFA
- 5.** Repeat step 2, 3 & 4 until all state of D are marked.(ie no new state is present in the transition table of DFA)
- 6.** Mark the states of DFA as a final state which contains the final state of NFA.

# Illustration



$$A = \epsilon\text{-closure}(0) = \{0, 1, 2, 4, 7\} \quad S = \{A\}$$

a on A

$$T = \{3, 8\}, \quad \epsilon\text{-closure}(T) = \{3, 6, 7, 1, 2, 4, 8\} = B$$

b on A

$$T = \{5\}, \quad \epsilon\text{-closure}(T) = \{5, 6, 7, 1, 2, 4\} = C$$

	a	b
→ A	B	C

# Illustration (Contd.)

$$S = \{ A, B, C \}$$

a on B

$$T = \{ 3, 8 \}, \quad \epsilon\text{-closure}(T) = B$$

	a	b
→ A	B	C
B	B	D

b on B

$$T = \{ 5, 9 \}, \quad \epsilon\text{-closure}(T) = \{ 5, 6, 7, 1, 2, 4, 9 \} = D$$

a on C

$$T = \{ 3, 8 \}, \quad \epsilon\text{-closure}(T) = B$$

	a	b
→ A	B	C
B	B	D
C	B	C

b on C

$$T = \{ 5 \}, \quad \epsilon\text{-closure}(T) = C$$

$$S = \{ A, B, C, D \}$$

# Illustration (Contd.)

a on D

$$T = \{3, 8\}, \epsilon\text{-closure}(T) = B$$

	a	b
→ A	B	C
B	B	D
C	B	C
D	B	E

b on D

$$T = \{5, 10\}, \epsilon\text{-closure}(T) = \{5, 6, 7, 1, 2, 4, 10\} = E \text{ (final state)}$$

a on E

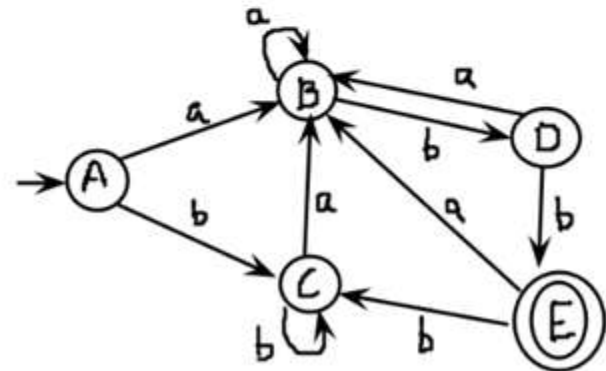
$$T = \{3, 8\}, \epsilon\text{-closure}(T) = B$$

b on E

$$T = \{5\}, \epsilon\text{-closure}(T) = C$$

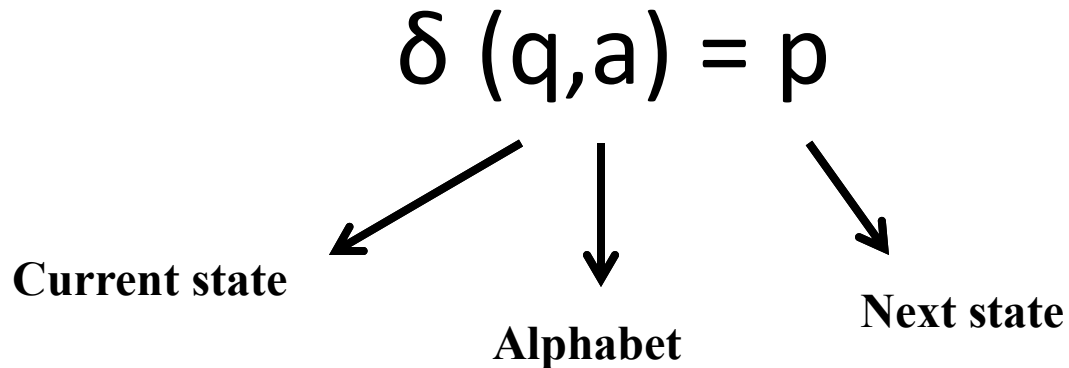
$$S = \{A, B, C, D, E\}$$

	a	b
→ A	B	C
B	B	D
C	B	C
D	B	E
ⓔ	B	C



# Transition function

- Function that defines the transitions of a state in a system
- Represented by  $\delta$
- The transition function  $\delta$ , receives 2 arguments : Current state  $q \in Q$  and input alphabet  $a \in \Sigma$



# Properties of Transition Function of DFA

- Property 1

$$\delta(q, \varepsilon) = q$$

- Property 2 (Extended transition function)

$$\text{a) } \delta^{\wedge}(q, aw) = \delta^{\wedge}(\delta(q, a), w)$$

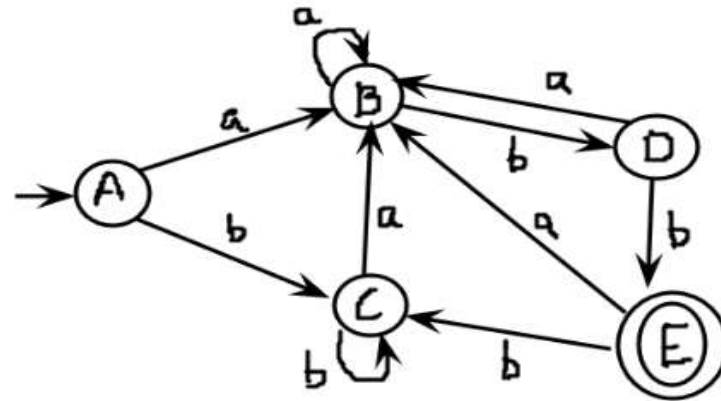
$$\text{b) } \delta^{\wedge}(q, wa) = \delta(\delta^{\wedge}(q, w), a)$$

Eg: Let  $Q = \{p, q, r\}$ ,  $\Sigma = \{0, 1\}$ ,  $\delta(q, 0) = p$ ,  $\delta(p, 1) = r$ ,  
 $w = 01$

$$\delta^{\wedge}(q, 01) = \delta(\delta(q, 0), 1) = \delta(p, 1) = r$$

# Acceptance of DFA

	a	b
A	B	C
B	B	D
C	B	C
D	B	E
E	B	C



$Q = \{A, B, C, D, E\}$ ,  $\Sigma = \{a, b\}$ ,  $q_0 = A$ ,  $F = \{E\}$

$Q \times \Sigma \rightarrow Q$

$\delta(A, a) = B$ ,  $\delta(A, b) = C$  similarly for all states

## Acceptance

If  $\delta(q_0, w) = q$  for some  $q \in F$  ie reachability to any final state from the initial state or start state on string  $w$

## Acceptance of DFA (contd.)

**Input ababb**, take it as  $aw$  and apply property 2a

$$\delta(A, ababb) = \delta(\delta(A, a), babb) = \delta(B, w)$$

where  $w = babb$  then perform  $\delta(B, babb)$

$$\text{ie } \delta(A, ababb) = \delta(B, babb) = \delta(D, abb) = \delta(B, bb)$$

$$= \delta(D, b) = \delta(E, \epsilon) = E \text{ - accepted}$$

**Input bab**

$$\delta(A, bab) = \delta(C, ab) = \delta(B, b) = \delta(D, \epsilon) = D \text{ - not accepted}$$

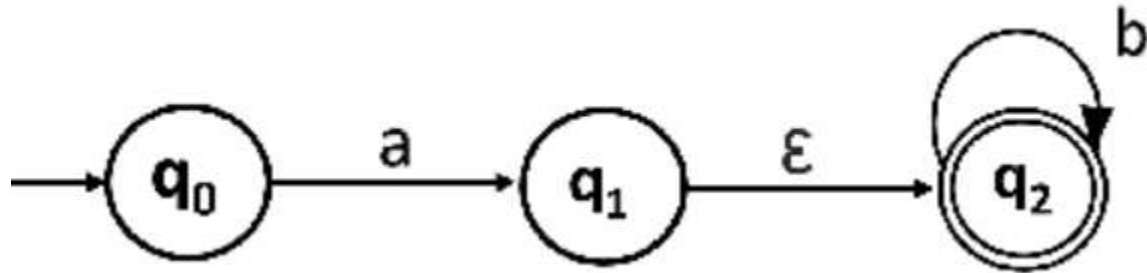
# Eliminating $\epsilon$ Transitions

1. Find out all the  $\epsilon$  transitions from each state from  $Q$ . That will be called as  $\epsilon$ -closure( $q_i$ ) where  $q_i \in Q$
2. Then  $\delta'$  transitions can be obtained for each input symbol. The  $\delta'$  transitions mean  $\epsilon$ -closure on  $\delta$  moves and it won't contain any  $\epsilon$ -transitions

$$\delta'(q_i, a) = \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_i), a)) \quad \text{where } q_i \in Q, \\ a \in \Sigma$$

3. Using the resultant transitions according to  $\delta'$ , the transition table for equivalent NFA without  $\epsilon$  can be built.

# $\epsilon$ - NFA



states	a	b	$\epsilon$
$\rightarrow q_0$	{q1}	$\emptyset$	$\emptyset$
q1	$\emptyset$	$\emptyset$	{q2}
$\odot$ q2	$\emptyset$	{q2}	$\emptyset$

# Elimination

$$\varepsilon\text{-closure}(q_0) = \{q_0\}$$

$$\varepsilon\text{-closure}(q_1) = \{q_1, q_2\}$$

$$\varepsilon\text{-closure}(q_2) = \{q_2\}$$

$$\begin{aligned}\delta'(q_0, a) &= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q_0), a)) \\ &= \varepsilon\text{-closure}(\delta(\{q_0\}, a)) \\ &= \varepsilon\text{-closure}(\{q_1\}) \\ &= \{q_1, q_2\}\end{aligned}$$

$$\begin{aligned}\delta'(q_0, b) &= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q_0), b)) \\ &= \varepsilon\text{-closure}(\delta(\{q_0\}, b)) \\ &= \varepsilon\text{-closure}(\Phi) \\ &= \Phi\end{aligned}$$

## Elimination (contd.)

$$\begin{aligned}\delta'(q_1, a) &= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q_1), a)) \\ &= \varepsilon\text{-closure}(\delta(\{q_1, q_2\}, a)) \\ &= \varepsilon\text{-closure}(\delta(q_1, a) \cup \delta(q_2, a)) \\ &= \varepsilon\text{-closure}(\Phi \cup \Phi) \\ &= \Phi\end{aligned}$$

$$\begin{aligned}\delta'(q_1, b) &= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q_1), b)) \\ &= \varepsilon\text{-closure}(\delta(\{q_1, q_2\}, b)) \\ &= \varepsilon\text{-closure}(\delta(q_1, b) \cup \delta(q_2, b)) \\ &= \varepsilon\text{-closure}(\Phi \cup \{q_2\}) \\ &= \{q_2\}\end{aligned}$$

# Elimination (contd.)

$$\begin{aligned}\delta'(q_2, a) &= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q_2), a)) \\ &= \varepsilon\text{-closure}(\delta(\{q_2\}, a)) \\ &= \varepsilon\text{-closure}(\Phi) \\ &= \Phi\end{aligned}$$

$$\begin{aligned}\delta'(q_2, b) &= \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q_2), b)) \\ &= \varepsilon\text{-closure}(\delta(\{q_2\}, b)) \\ &= \varepsilon\text{-closure}(\{q_2\}) \\ &= \{q_2\}\end{aligned}$$

# Elimination (contd.)

## Summarized

$$\delta'(q_0, a) = \{q_1, q_2\}$$

$$\delta'(q_0, b) = \Phi$$

$$\delta'(q_1, a) = \Phi$$

$$\delta'(q_1, b) = \{q_2\}$$

$$\delta'(q_2, a) = \Phi$$

$$\delta'(q_2, b) = \{q_2\}$$

$$F = \{q_1, q_2\}$$

Since  $\epsilon$ -closure of  $q_1$  and  $q_2$  contain the final state  $q_2$

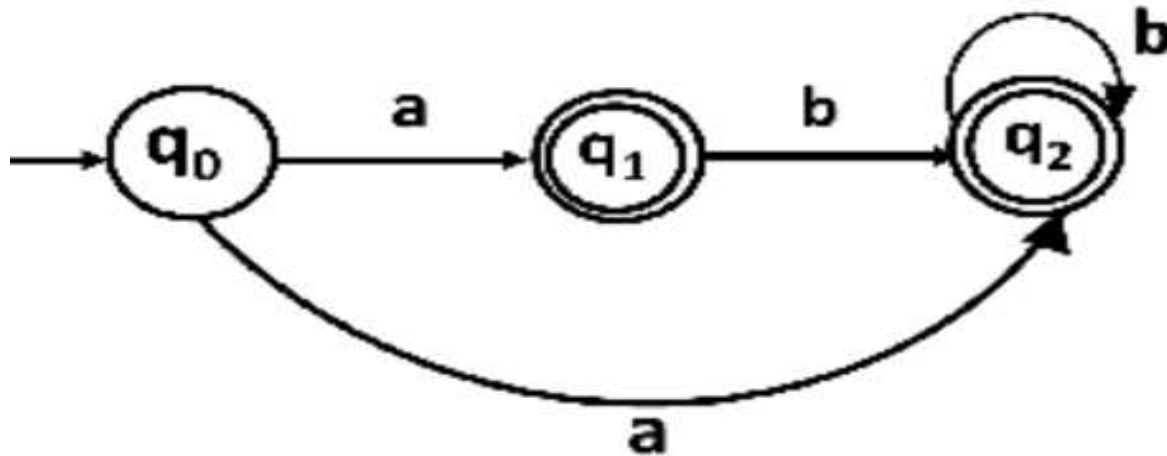
# Elimination (contd.)

## Transition Table for NFA without $\epsilon$

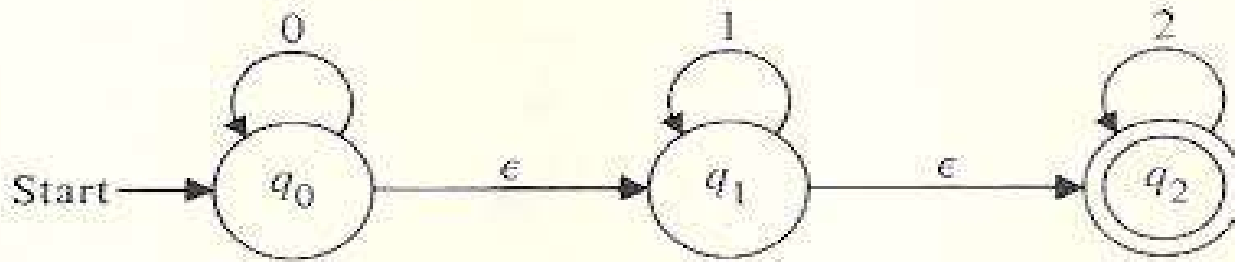
states	a	b
$\rightarrow$ q0	{q1,q2}	$\emptyset$
q1	$\emptyset$	{q2}
q2	$\emptyset$	{q2}

# Elimination (contd.)

Transition diagram for NFA without  $\epsilon$



# Exercise



$$\varepsilon\text{-closure}(q_0) = \{q_0, q_1, q_2\}$$

$$\varepsilon\text{-closure}(q_1) = \{q_1, q_2\}$$

$$\varepsilon\text{-closure}(q_2) = \{q_2\}$$

$$F = \{q_0, q_1, q_2\}$$

$$\delta'(q_i, a) = \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q_i), a)) \text{ where } q_i \in Q, a \in \Sigma$$

$$\text{ie } \delta'(q_0, 0) = \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q_0), 0))$$

$$= \varepsilon\text{-closure}(\delta(\{q_0, q_1, q_2\}, 0)) = \varepsilon\text{-closure}(\{q_0\}) = \{q_0, q_1, q_2\}$$

# Steps for converting NFA without $\epsilon$ to DFA

- 1.** Start state of NFA as a start state of DFA and initialize D(set of states for DFA)
- 2.** Take an unmarked state from D and find union of transitions for each input symbol that can be traversed(state T is identified for each input symbol)
- 3.** If found a new state, add to D
- 4.** Update transition table for DFA
- 5.** Repeat step 2, 3 & 4 until all state of D are marked.(ie no new state is present in the transition table of DFA)
- 6.** Mark the states of DFA as a final state which contains the final state of NFA.

# NFA and DFA Equivalence

**Theorem** If  $D = (Q_D, \Sigma, \delta_D, \{q_0\}, F_D)$  is the DFA constructed from NFA  $N = (Q_N, \Sigma, \delta_N, q_0, F_N)$  by the subset construction, then  $L(D) = L(N)$ .

**PROOF:** What we actually prove first, by induction on  $|w|$ , is that

$$\hat{\delta}_D(\{q_0\}, w) = \hat{\delta}_N(q_0, w)$$

**BASIS:** Let  $|w| = 0$ ; that is,  $w = \epsilon$ . By the basis definitions of  $\hat{\delta}$  for DFA's and NFA's, both  $\hat{\delta}_D(\{q_0\}, \epsilon)$  and  $\hat{\delta}_N(q_0, \epsilon)$  are  $\{q_0\}$ .

# NFA and DFA Equivalence(contd...)

**INDUCTION:** Let  $w$  be of length  $n + 1$ , and assume the statement for length  $n$ . Break  $w$  up as  $w = xa$ , where  $a$  is the final symbol of  $w$ . By the inductive hypothesis,  $\hat{\delta}_D(\{q_0\}, x) = \hat{\delta}_N(q_0, x)$ .

$$\hat{\delta}_D(\{q_0\}, x) = \{p_1, p_2, \dots, p_k\} \quad (1)$$

The inductive part of the definition of  $\hat{\delta}$  for NFA's tells us

$$\hat{\delta}_N(q_0, w) = \bigcup_{i=1}^k \delta_N(p_i, a) \quad (2)$$

The subset construction, on the other hand, tells us that

$$\delta_D(\{p_1, p_2, \dots, p_k\}, a) = \bigcup_{i=1}^k \delta_N(p_i, a) \quad (3)$$

# NFA and DFA Equivalence(contd...)

Using Equations (1) and (3)

inductive part of the definition of  $\delta$  for DFA's:

$$\hat{\delta}_D(\{q_0\}, w) = \delta_D(\hat{\delta}_D(\{q_0\}, x), a) = \delta_D(\{p_1, p_2, \dots, p_k\}, a) = \bigcup_{i=1}^k \delta_N(p_i, a) \quad (4)$$

Thus, Equations (2) and (4) demonstrate that  $\hat{\delta}_D(\{q_0\}, w) = \hat{\delta}_N(q_0, w)$ . When we observe that  $D$  and  $N$  both accept  $w$  if and only if  $\hat{\delta}_D(\{q_0\}, w)$  or  $\hat{\delta}_N(q_0, w)$ , respectively, contain a state in  $F_N$ , we have a complete proof that  $L(D) = L(N)$ .  $\square$

# Regular Grammar

If all productions are of the form  $A \rightarrow wB$  or  $A \rightarrow w$ , where  $A$  and  $B$  are variables and  $w$  is a (possibly empty) string of terminals, then we say the grammar is *right-linear*. If all productions are of the form  $A \rightarrow Bw$  or  $A \rightarrow w$ , we call it *left-linear*. A right- or left-linear grammar is called a *regular grammar*.

**Example 1** The language  $0(10)^*$  is generated by the right-linear grammar

$$S \rightarrow 0A$$

$$A \rightarrow 10A \mid \epsilon$$

and by the left-linear grammar

$$S \rightarrow S10 \mid 0$$

# Equivalence of Regular grammars and Finite Automata

**Theorem** If  $L$  has a regular grammar, then  $L$  is a regular set.

*Proof* First, suppose  $L = L(G)$  for some right-linear grammar  $G = (V, T, P, S)$ . We construct an NFA with  $\epsilon$ -moves,  $M = (Q, T, \delta, [S], \{[\epsilon]\})$  that simulates derivations in  $G$ .

$Q$  consists of the symbols  $[\alpha]$  such that  $\alpha$  is  $S$  or a (not necessarily proper) suffix of some right-hand side of a production in  $P$ .

We define  $\delta$  by:

- 1) If  $A$  is a variable, then  $\delta([A], \epsilon) = \{[\alpha] \mid A \rightarrow \alpha \text{ is a production}\}$ .
- 2) If  $a$  is in  $T$  and  $\alpha$  in  $T^* \cup T^*V$ , then  $\delta([a\alpha], a) = \{[\alpha]\}$ .

Then an easy induction on the length of a derivation or move sequence shows that  $\delta([S], w)$  contains  $[\alpha]$  if and only if  $S \xRightarrow{*} xA \Rightarrow xy\alpha$ , where  $A \rightarrow y\alpha$  is a production and  $xy = w$ , or if  $\alpha = S$  and  $w = \epsilon$ . As  $[\epsilon]$  is the unique final state,  $M$  accepts  $w$  if and only if  $S \xRightarrow{*} xA \Rightarrow w$ .

# Proof(contd...)

Let  $G = (V, T, P, S)$  be a left linear grammar and  $G' = (V, T, P', S)$  be the grammar with productions reversed

$$P' = \{A \rightarrow \alpha \mid A \rightarrow \alpha^R \text{ is in } P\}.$$

If we reverse the productions of a left-linear grammar we get a right-linear grammar, and vice versa. Thus  $G'$  is a right-linear grammar, and it is easy to show that  $L(G') = L(G)^R$ . By the preceding paragraph,  $L(G')$  is a regular set. But the regular sets are closed under reversal

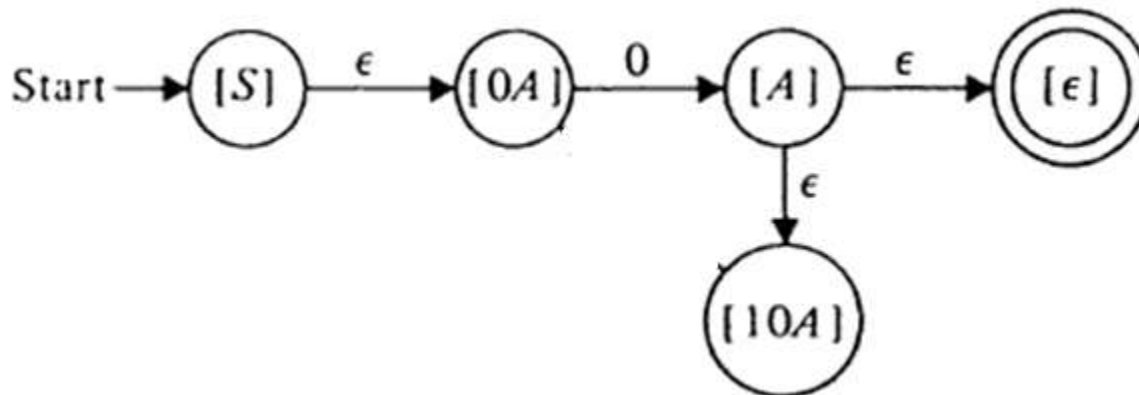
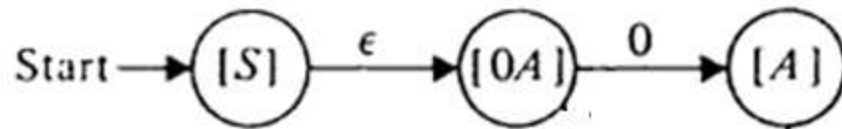
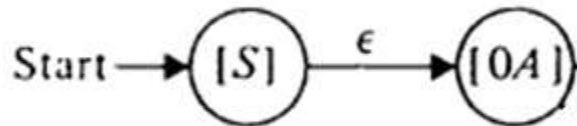
so  $L(G')^R = L(G)$  is also a regular set.

Thus every right- or left-linear grammar defines a regular set. □

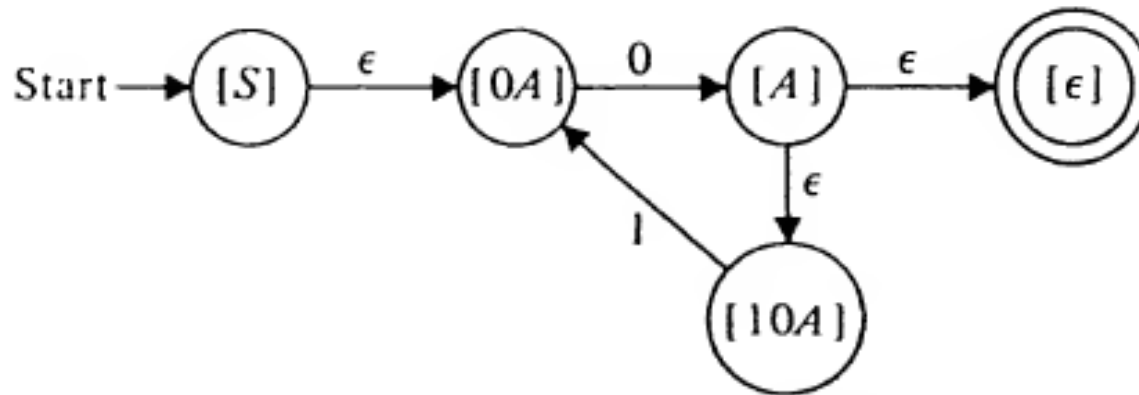
# Example 1

$S \rightarrow 0A$

$A \rightarrow 10A \mid \epsilon$



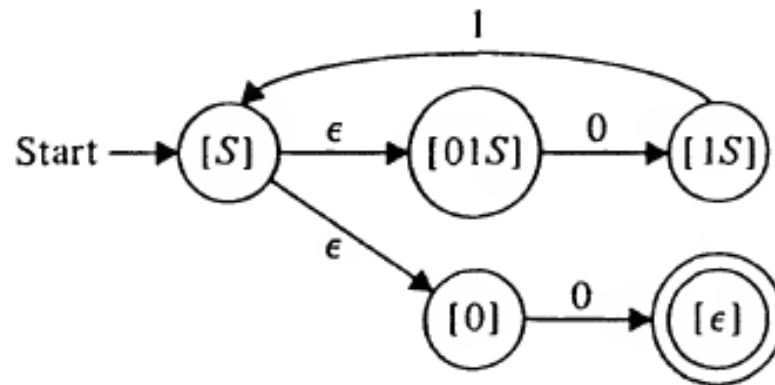
# Example 1 (contd...)



Find the DFA for above automata

# Example 2

$S \rightarrow 01S|0$



Find the DFA for above automata